



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**MARUYAMA, Kenji et al.**

Group Art Unit: **2813**

Serial No.: **09/960,296**

Examiner: **SCHILLINGER, Laura M.**

Filed: **September 24, 2001**

P.T.O. Confirmation No.: **4754**

For: **SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME**

**INFORMATION DISCLOSURE STATEMENT AND**  
**STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

September 25, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

**XX** that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP



Kenneth H. Salen  
Attorney for Applicant  
Reg. No. 43,077

KHS/fs  
Atty. Docket No. **011267**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930

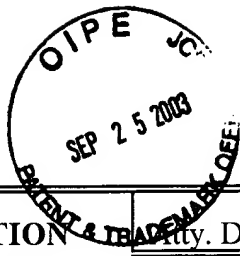


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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 & 4 references  
Korean Office Action dated July 30, 2003

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<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Patent Docket No. <b>011267</b>	Serial No. <b>09/960,296</b>
	Applicant(s): <b>MARUYAMA, Kenji et al.</b>	
	Filing Date: <b>September 24, 2001</b>	Group Art Unit: <b>2813</b>

### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA					
_____	AB					
_____	AC					
_____	AD					
_____	AE					

### FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Translation (Yes or No)
_____ AF 5-90600	4/ 9/1993	Japan	Yes (Abstract)
_____ AG 2000-0014388	3/15/2000	Korea	Yes (Abstract)
_____ AH 1998-079735	11/25/1998	Korea	No (See corresponding Japanese application 10-247664)
_____ AI 10-247664	9/14/1998	Japan	Yes (Abstract)
_____ AJ			

### OTHER DOCUMENTS

_____	AK	Korean Office Action dated July 30, 2003
_____	AL	
Examiner _____ Date Considered _____		